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In re the Application of: Kiichi SHIMODAIRA et al.

Art Unit: 2871

Application Number: 10/576,583

Examiner: Not Yet Assigned

Filed: April 20, 2006

Confirmation Number: 7372

For:

OPTICAL RESIN SHEET AND LIQUID CRYSTAL CELL SUBSTRATE INCLUDING THE SAME, LIQUID CRYSTAL DISPLAY DEVICE, SUBSTRATE FOR AN IMAGE

DISPLAY DEVICE, AND IMAGE DISPLAY DEVICE

Attorney Docket Number:

062430

October 14, 2008

Customer Number:

38834

# REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: Missing Parts
Commissioner for Patents
P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in the Title. The title should read -OPTICAL RESIN SHEET AND LIQUID CRYSTAL CELL SUBSTRATE INCLUDING THE
SAME, LIQUID CRYSTAL DISPLAY DEVICE, SUBSTRATE FOR AN IMAGE DISPLAY
DEVICE, AND IMAGE DISPLAY DEVICE--. A copy of the Declaration is enclosed which indicates
the correct information. We are also enclosing a copy of the filing receipt with the corrections
highlighted.

If any fees are required in connection with this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Scott M. Daniels

Attorney for Applicants Registration No. 32,562

Telephone: (202) 822-1100 Facsimile: (202) 822-1111

SMD/kem

Enclosures: Official Filing Receipt; Declaration



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

**FILING RECEIPT** 

APPLICATION	FILING or	GRP ART	I		Τ	<u></u>
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/576,583	04/20/2006	2871	900	062430	8	<u> </u>

**CONFIRMATION NO. 7372** 

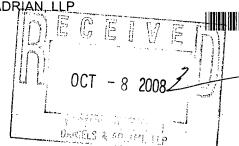
38834

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

1250 CONNECTICUT AVENUE, NW

SUITE 700

WASHINGTON, DC 20036



Date Mailed: 10/06/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Kiichi Shimodaira, Osaka, JAPAN; Yoshimasa Sakata, Osaka, JAPAN; Yuuzou Akada, Osaka, JAPAN; Tadaaki Harada, Osaka, JAPAN;

**Assignment For Published Patent Application** 

NITTO DENKO CORPORATION, Ibaraki-shi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 38834

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/12538 08/31/2004

Foreign Applications

JAPAN 2003-363474 10/23/2003 JAPAN 2004-213713 07/22/2004

If Required, Foreign Filing License Granted: 10/02/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/576,583** 

Projected Publication Date: 01/15/2009

Non-Publication Request: No

Early Publication Request: No

SCANED

: -- SUBSTRATE --!

Title

Optical Resin Sheet and Liquid Crystal Cell Subtrate Including the Same, Liquid Crystal Display Device, Substrate for an Image Display Device, and Image Display Device

### **Preliminary Class**

349

# PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING." LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# **DECLARATION FOR U.S. PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **entitled** 

OPTICAL RESIN SHEET AND LIQUID CRYSTAL CELL SUBSTRATE INCLUDING THE SAME, LIQUID CRYSTAL DISPLAY DEVICE, SUBSTRATE FOR AN IMAGE DISPLAY DEVICE, AND IMAGE

the specificati	on of which is attached hereto ur	dess the following is chec	cked			
was filed of International	onas United States Applica Application Number <u>PCT/JP200</u>	ation Number and 4/012538 and was amend	was filed on <u>August 31, 200</u> led on(if applicable).	<u>04</u> as PCT		
I hereby state claim(s), as an	that I have reviewed and underst mended by any amendment referr	and the contents of the ab	ove-identified specification	, including the		
I acknowledge Regulations, §	e the duty to disclose information § 1.56.	which is material to pate	entability as defined in Title	37, Code of Federal		
TOT PATCHEOLE	n foreign priority benefits under I nventor's certificate listed below tificate having a filing date befor	and have also idenified (	helaw any tareum analicatic	in for notont or		
(List prior foreig	n			<b>Priority Claimed</b>		
applications. See note A)		Japan (Country)	23/10/2003 (Day/Month/Year Filed)	⊠ Yes □ No		
	2004-213713	Japan	22/7/2004	⊠ Yes □ No		
	(Number)	(Country)	(Day/Month/Year Filed)			
	(Number)	(Country)	(Day/Month/Year Filed)	☐ Yes ☐ No		
				☐ Yes ☐ No		
	(Number)	(Country)	(Day/Month/Year Filed)			
	See attached list for additional					
in the manner information w	n the benefit under Title 35, Unite subject matter of each of the claid provided by the first paragraph which is material to patentability a became available between the fili- oplication.	of Title 35, United States s defined in Title 37, Coo	of disclosed in the prior Un Code, § 112, I acknowledge le of Federal Regulations	ited States application ge the duty to disclose		
(List prior U.S.				Status		
Applications)	(Application Serial No.)	(Filing Date)		ling   Abandoned		
	(Application Serial No.)	(Filing Date)	☐ Patented ☐ Pending ☐ Abandoned			
			☐ Patented ☐ Pend	ling  Abandoned		
	(Application Serial No.)	(Filing Date)				
	(Application Serial No.)	(Filing Date)	Patented Peno	ling Abandoned		

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

# **Customer Number: 38834**

Please direct all communications to the following address:

Westerman, Hattori, Daniels & Adrian, LLP 1250 Connecticut Avenue, N.W., Suite 700, Washington, D.C. 20036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

See note C)	Full name of sole or f	first inventor (given name, family name)	Kiichi SHIMODAIRA			
	Inventor's signature	Kiichi Shimodaira	Date April 10, 2006			
	Residence	Ibaraki-shi, Osaka	Citizenship Japanese			
	Post Office Address	c/o NITTO DENKO CORPORATION, 1-2, Shimohozumi 1-chome, Ibaraki-shi,				
		Osaka 567-8680 Japan				
	Full name of second	inventor (given name, family name)	Yoshimasa SAKATA			
	Inventor's signature	Yoshimesa Lakata	Date April 10, 2006			
	Residence	Ibaraki-shi, Osaka	Citizenship Japanese			
	Post Office Address	c/o NITTO DENKO CORPORATION, 1-2, Shimohozumi 1-chome, Ibaraki-shi,				
		Osaka 567-8680 Japan				
	Full name of third inv	ventor (given name, family name)	Yuuzou AKADA			
	Inventor's signature	· Yuzo Akada	Date April 10, 2006			
	Residence	Ibaraki-shi, Osaka	Citizenship Japanese			
	Post Office Address	c/o NITTO DENKO CORPORATION, 1-2, Shimohozumi 1-chome, Ibaraki-shi,				
		Osaka 567-8680 Japan				
	Full name of fourth in	nventor (given name, family name)	Tadaaki HARADA			
	Inventor's signature	Jaclaaki Karashi	Date April 10, 2006			
	Residence	Ibaraki-shi, Osaka	Citizenship Japanese			
	Post Office Address	c/o NITTO DENKO CORPORATION, 1-2, Shimohozumi 1-chome, Ibaraki-shi,				
		Osaka 567-8680 Japan				
	Full name of fifth inv	entor (given name, family name)				
	Inventor's signature		Date			
	Residence		Citizenship			
•	Post Office Address					
	Full name of sixth inv	entor (given name, family name)				
	Inventor's signature		Date			
	Residence		Citizenship			
	Post Office Address					